

Finance

Personal Financial Planning Certificate Program

- **Curriculum satisfies the CFP Board's education requirement to sit for the CFP® Certification Examination**
- **Program available:**
 - Online
 - On campus
 - Accelerated

ce.uci.edu/pfp





UCI Division of Continuing Education

provides UC-quality education at a global scale so that learners from virtually anywhere can develop the professional skills necessary to advance their careers. We have proudly delivered exceptional educational experiences to more than one million learners from over 115 countries. UCI is ranked among the top 50 universities nationally and in the top 10 of all public universities, which signifies our well-known, uncompromising standard of excellence.

Improve Your Career Options with a Professional Certificate



“UCI Continuing Education did a great job preparing me for the exam, as well as a new career.”

Lauree Murphy, graduate, PFP program

Personal Financial Planning Certificate Program

Market research indicates that financial planning is one of the fastest growing fields in Orange County. Current demand for CERTIFIED FINANCIAL PLANNER™ professionals attests to the growth of this profession.

UCI Continuing Education's Program in Personal Financial Planning is registered with the CFP Board. This registration allows graduates who wish to be recognized by the designation CFP® to take the CFP® Certification Examination.

Who Should Enroll

This program prepares individuals for employment in the financial planning field. It is designed for:

- Individuals who are considering a career in personal financial planning
- Those seeking a career change or positions within the field of financial planning
- Current professionals in banking, accountants, stock-brokers and attorneys
- Mid-career professionals in the finance industry who want to hone their skills

Students not pursuing the certificate are welcome to take as many individual courses as they wish.

Becoming a CFP® Professional

Earning the CFP® Certification demonstrates a standard of excellence for competent and ethical personal financial planning. Students who successfully complete all required courses in the PFP Certificate Program are eligible to sit for the CFP® Certification Examination. A bachelor's degree (or higher), in any discipline, from an accredited college or university is required to attain CFP® certification. A bachelor's degree is not required to complete the certificate program or sit for the exam. Students who pass the examination must also fulfill the work experience and ethics requirements as required by the CFP Board to become a CERTIFIED FINANCIAL PLANNER™. Start the path to CFP® certification by registering for your free CFP Board account at www.cfp.net/become.

UCI Continuing Education does not award the CFP® and CERTIFIED FINANCIAL PLANNER™ designation. The right to use the marks CFP® and CERTIFIED FINANCIAL PLANNER™ is granted by the CFP Board to those persons who have met its rigorous educational standards, passed the CFP Board Comprehensive CFP® Certification Examination, satisfied a work experience requirement, and agreed to abide by the CFP Board Code of Ethics and Professional Responsibility. Only persons registered with the CFP Board are permitted to sit for the CFP® Certification Examination. Only the CFP Board issues CFP® certificates and licenses.

Certificate Requirements

A certificate is awarded upon completion of eight required courses each with a grade of "C" or above. Please declare candidacy by the end of your third course.

After you have completed all requirements, you may request to receive your official certificate by completing the Request for Certificate and returning it to our offices as noted on the form. All requirements must be completed within five (5) years after the student enrolls in his/her first course.

For more information:
Francine Berg
Program Representative
(949) 824-4661
FinancePrograms@ce.uci.edu

Curriculum



On-Site Training

Bring this program to your workplace. Through Corporate Training, we can deliver this program or customize one that fits your company's specific needs. Visit ce.uci.edu/corporate or call (949) 824-1847 for information.

Transfer Credit for M.B.A.

Students who complete the Personal Financial Planning Certificate Program are eligible to transfer credits into the M.B.A. in Financial Planning at California Lutheran University.

Program Benefits

- Obtain knowledge and skills that will enable you to offer sound financial advice and quality client service.
- Curriculum satisfies the CFP Board's education requirement to sit for the CFP® Certification Examination.
- Strengthen your professional network.
- California Department of Insurance CE credit available on select courses.
- Program available online and on campus.

Program Fees

The total cost of the program varies depending on the format chosen. Actual fees may differ from the estimate below. Fees are subject to change without prior notice.

Classroom Course Fee	\$690 - \$720 per course
Online Course Fee	\$690 - \$720 per course
Textbooks	approx. \$150 per course
Candidacy Fee	\$125
Parking	\$34 per quarter

Required Courses (8 courses)

Principles of Financial Analysis

MGMT X433.20 (3.0 units)

Learn the basic analytical and mathematical tools used in personal financial planning. The concepts of cash flow, time value of money, present value, future value, annuities, amortization, net present value, and internal rate of return will be explored. The HP-12C or HP-10BII calculator is required.

Survey of Personal Financial Planning

MGMT X433 (4.0 units)

Learn the fundamental principles of comprehensive personal financial planning. The concepts of cash flow, college funding, insurance, taxation, investment analysis, employment benefits, retirement, estate planning, industry regulations, professional standards, the business cycle and monetary and fiscal policies will be explored.

Insurance Planning

MGMT X433.7 (4.0 units)

Learn how to manage risk with insurance. Life insurance, annuities, disability insurance, medical long term care, homeowner's insurance, auto insurance, umbrella liability policies, and public insurance programs such as Social Security, Medicare, and Medicaid will be explored.

Investment Planning

MGMT X433.1 (4.0 units)

Learn the investment concepts essential to personal financial planning. Securities laws and regulations, risk analysis, stock valuation, expected rates of return, market indicators, monetary policy, bonds, yield curves, futures, mortgages, mutual funds, and portfolio construction and management will be explored.

Income Tax Planning

MGMT X433.2 (4.0 units)

Learn how to recognize and take advantage of income tax planning opportunities. The concepts of income, deductions, rates, credits, sales, exchanges, tax favored investments, passive activity rules, and basic limitations will be explored.



Retirement Planning

MGMT X433.8 (4.0 units)

Learn the key components of a comprehensive retirement needs analysis. Stock options, non-qualified deferred compensation plans, non-pension-related benefits, tax favored corporate retirement plans, and non-corporate retirement plans will be explored.

Estate Planning

MGMT X433.9 (4.0 units)

Learn how wealth is protected and transferred through estate planning. Tax objectives, wills and living trusts, marital deductions, lifetime gifts and trusts, holding title to property, life insurance and annuities, business interest, and post-death tax and legal problems will be explored.

Personal Financial Planning Practicum

MGMT X433.21 (4.5 units)

Learn how to apply the knowledge acquired from the required Personal Financial Planning curriculum to create a complete financial plan from the ground up. Client counseling, data gathering, presentation, and implementation of financial plans will be explored.

Optional Non-Certificate Courses

Personal Financial Planning Internship

MGMT X433.45 (4.5 units)

Learn practical financial planning skills as an intern in a well-rounded financial planning firm. Interns will have the opportunity to learn about job functions such as client communications, strategic research, writing financial plans, investment management, marketing, and more.

The Dalton Review® for the CFP® Exam

The Dalton Review is the exclusive review for our CFP® certification education programs. All UCI Continuing Education PFP students are eligible to receive a discount when enrolling in The Dalton Review. For more information visit ce.uci.edu/pfp.

Accelerated Option

The Personal Financial Planning Certificate Program – Accelerated Option

allows students to complete this intensive in-depth program on select weekends over a 9-month period.

Participants must have a minimum 3 years of experience in investments, banking, insurance, or financial planning, or 3 years of experience as a CPA, Attorney at Law, CLU, or ChFC.

Program Schedule

January - October

Select Saturdays and Sundays, 8:00am - 5:00pm and approximately three (3) hours of classwork online following each weekend session

Course Topics: • **Financial Planning Process**

• **Insurance Planning**

• **Investment Planning**

• **Tax Planning**

• **Retirement Planning**

• **Estate Planning**

• **Practicum (Capstone)**

Location: UCI Division of Continuing Education

Fee: \$4,270 (textbooks not included)

Fees are subject to change without prior notice.

For course schedule and to learn more, visit ce.uci.edu/pfp



Advisory Committee

Linda Barlow, CFP®, Financial Consultant

Don DeBok, CFP®, Assistant Planner, Newport Planning Corporation

Michael Feldman, Head of the Wealth Markets Group of Union Bank

Delia Fernandez, CFP®, Financial Planner, Fernandez Financial Advisory

Ryan Hook, CFP®, Wealth Manager, Creative Planning, Inc.

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